

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Honorable Assistant Commissioner for Patents 583 U.S. PTO
Washington, D.C. 20231



12/13/99

December 13, 1999

S I R:

Transmitted herewith for filing are the specification and claims of the patent application of:

Graham P. Allaway, Virginia M. Litwin, Paul J. Maddon and William C. Olson for
Inventor(s)

METHOD FOR PREVENTING HIV-1 INFECTION OF CD4+ CELLS

Title of Invention

Also enclosed are:

☒ 10 sheet(s) of ☐ informal ☒ formal drawings.

☒ Oath or declaration of Applicant(s). (unsigned)

☒ A power of attorney (unsigned)

☐ An assignment of the invention to _____

☒ A Preliminary Amendment

☐ A verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27.

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE		
					SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
Total Claims	4 -20	=	0	X	\$ 9.00	\$18.00	=	\$ 0	\$ 0
Independent Claims	1 -3	=	0	X	\$39.00	\$78.00	=	\$ 0	\$ 0
Multiple Dependent Claims Presented: <u> </u> Yes <u> X </u> No					\$130.00	\$260.00	=	\$ 0	\$ 0
*If the different in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE			\$ 380	\$ 760
					TOTAL FEE			\$ 380	\$


U.S. PTO
09/460216
12/13/99

Applicants: Graham P. Allaway, Virginia M. Litwin, Paul J. Maddon and William C. Olson
Serial No.: Not Yet Known
Filed: December 3, 1999

Letter of Transmittal
Page 2

- ☒ A check in the amount of \$ 380.00 to cover the filing fee.
- ☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125:
- ☒ Filing fees under 37 C.F.R. §1.16.
- ☒ Patent application processing fees under 37 C.F.R. §1.17.
- ☐ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
- ☒ Three copies of this sheet are enclosed.
- ☐ A certified copy of previously filed foreign application No. _____ filed in _____ on _____.
Applicant(s) hereby claim priority based upon this aforementioned foreign application under 35 U.S.C. §119.
- ☒ Other (identify) Express Mail Certificate of Mailing bearing label No. EL27888748/US; One loose set of figures; computer diskette containing CRF; Statement in Accordance with 37 CFR 1.821 (f)

Respectfully submitted,



John P. White
Registration No. 28,678
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Graham P. Allaway, et al.

Serial No.: Not Yet Known (Continuation application of
PCT/US98/12331, filed June 12,
1998)

Filed : December 13, 1999

For : METHOD FOR PREVENTING HIV-1 INFECTION OF CD4+
CELLS

1185 Avenue of the Americas
New York, New York 10036
December 13, 1999

Assistant Commissioner for Patents
Washington, D.C. 20231

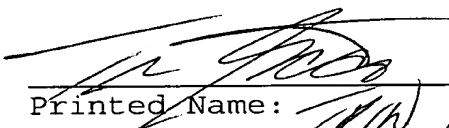
SIR:

**EXPRESS MAIL CERTIFICATE OF
MAILING FOR ABOVE-IDENTIFIED APPLICATION**

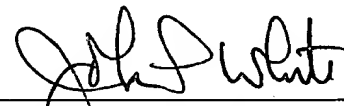
"Express Mail" mailing label number: EL 278 887 487 US

Date of Deposit: December 13, 1999

I hereby certify that this paper or fee is being deposited with
the United States Postal Service "Express Mail Post Office to
Addressee" service under 37 C.F.R. §1.10 on the date indicated
above and is addressed to the Assistant Commissioner for Patents,
Box Patent Application, Washington, D.C. 20231.


Printed Name: John P. White

Respectfully submitted,


John P. White
Registration No. 28,678
Attorney for Applicant(s)
Cooper & Dunham, LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

jc511 U.S. PRO
09/460216

